



Resolution No. R2020-25

Budget Transfers for Certain System Expansion, Enhancement and State of Good Repair Projects

Meeting:	Date:	Type of action:	Staff contact:
Board	11/19/2020	Final action	Tracy Butler, Chief Financial Officer Ryan Fisher, Director of Financial Planning, Analysis & Budget

Proposed action

Authorizing phase transfers for certain System Expansion, Enhancement and State of Good Repair projects while maintaining the authorized project allocation established for each project.

Key features summary

- This action would reallocated funds between project phases for one System Expansion project, four State of Good Repair projects and five Enhancement projects. There is no increase to the project allocations for any of the projects.
- This action is needed because these projects have spending or forecasted spending in phases where there is no budget or insufficient budget. Project phases include Agency Administration, Preliminary Engineering, Final Design, Construction and Construction Services and are used to track planned spending within the total project budget.
- The action would amend the individual project budgets to transfer money between phases as follows:

Project	Phase Transfer	Reason
System Expansion		
Souder Maintenance Base	Transfer \$110,000 from the Construction phase to the Agency Administration phase	Shifting phase budgets to reflect realignment efforts.
State of Good Repair Projects		
Downtown Seattle Transit Tunnel Capital Improvements	Transfer \$9,129 from the Construction phase to the Right of Way phase	Administrative adjustment to shift property title reports to ROW.
Wheel Truing Machine	Transfer \$157 from the Construction phase to the Agency Administration phase	Phase budgets were based on preliminary assumptions; no engineering staff time was anticipated, but 2 hours needed.
Kinkisharyo LRV System Upgrade	Transfer \$16,000 from the Final Design phase to the Agency Administration phase	Phase budgets were based on preliminary assumptions; limited certainty for individual phases.

Puget Sound Emergency Radio Network	Transfer \$30,000 from the Preliminary Engineering phase to the Final Design phase	To separate preliminary engineering from final design which had previously been budgeted together.
Enhancement Projects		
SeaTac Airport Wrong Door	Transfer \$42,047 from the Construction phase to the Final Design phase	To separate final design from construction which had previously been budgeted together.
Operations and Maintenance Facility Link Control Center Upgrades	Transfer \$40,552 from the Construction phase to the Agency Administration phase	Phase budgets were based on preliminary assumptions; limited certainty for individual phases.
Operations and Maintenance Facility Renovations	Transfer \$10,000 from the Final Design to the Preliminary Engineering phase	Phase budgets were based on preliminary assumptions; limited certainty for individual phases.
Operations and Maintenance Facility Expanded Parking	Transfer \$21,956 from the Final Design phase to the following phases: \$12,809 to the Agency Administration phase and \$9,147 to the Construction phase	Phase budgets were based on preliminary assumptions; limited certainty for individual phases.
Operations and Maintenance Facility LRV Lift	Transfer \$99,762 from the Construction phase to the Agency Administration phase	Phase budgets were based on preliminary assumptions; limited certainty for individual phases.

- Board action is needed to allow work to proceed and to remain in compliance with the Board's budget policy.

Background

The Board's budget policy, Resolution No. R2018-32, requires that the Board approve budget transfers between project cost phases of the same project, except for the one-time actions the CEO is authorized to take to create the final adopted budget book.

These transfers will allow project work to advance or will transfer budget into the appropriate categories to complete project work. There is no increase to any project allocations to date for any of the projects.

Project status

Project scope, schedule and budget summary for the Sounder Maintenance Base are located on page 153-155 of the September 2020 Agency Progress Report.

Fiscal information

The following projects are projected to overspend the authorized project allocation by phase. There is no change to the authorized project allocation per project. There are 2020 spending in these phases, however, it does not exceed the authorized project allocation of the project and the annual cap of the project type.

300004 - SOUNDER MAINTENANCE BASE

Project Type: System Expansion

Description: Seeking Board approval to transfer authorized project allocation of \$110,000 from phase 50 Construction to phase 10 Agency Administration.

(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$2,511	\$110	\$2,621
Preliminary Engineering	3,465		3,465
Final Design			
Third Party Agreements			
Right of Way	2,992		2,992
Construction	2,000	(110)	1,890
Construction Services	2,000		2,000
Vehicles			
Contingency			
Total	\$12,968	\$	\$12,968

400116 - DSTT CAPITAL IMPROVEMENTS

Project Type: State of Good Repair

Description: Seeking Board approval to transfer authorized project allocation of \$9,129 from phase 50 Construction to phase 40 Right of Way.

(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$3,000	\$	\$3,000
Preliminary Engineering			
Final Design	8,400		8,400
Third Party Agreements			
Right of Way		9	9
Construction	85,000	(9)	84,991
Construction Services			
Vehicles			
Contingency			
Total	\$96,400	\$	\$96,400

700657 - WHEEL TRUING MACHINE

Project Type: State of Good Repair

Description: Seeking Board approval to transfer authorized project allocation of \$157 from phase 50 Construction to phase 10 Agency Administration.

(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$	\$	\$
Preliminary Engineering			
Final Design			
Third Party Agreements			
Right of Way			
Construction	3,963	()	3,963
Construction Services			
Vehicles			
Contingency			
Total	\$3,963	\$	\$3,963

700655 - KINKISHARYO LRV SYSTEMS UPGRADE

Project Type: State of Good Repair

Description: Seeking Board approval to transfer authorized project allocation of \$16,000 from phase 30 Final Design to phase 10 Agency Administration.

(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$	\$16	\$16
Preliminary Engineering			
Final Design	750	(16)	734
Third Party Agreements			
Right of Way			
Construction			
Construction Services			
Vehicles	17,050		17,050
Contingency			
Total	\$17,800	\$	\$17,800

700741 - PUGET SOUND EMERGENCY RADIO NETWORK

Project Type: State of Good Repair

Description: Seeking Board approval to transfer authorized project allocation of \$30,000 from phase 20 Preliminary Engineering to phase 30 Final Design.

(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$42	\$	\$42
Preliminary Engineering	55	(30)	25
Final Design		30	30
Third Party Agreements			
Right of Way			
Construction	403		403
Construction Services			
Vehicles			
Contingency			
Total	\$500	\$	\$500

700651 - SEATAC AIRPORT WRONG DOOR

Project Type: Enhancement

Description: Seeking Board approval to transfer authorized project allocation of \$42,047 from phase 50 Construction to phase 30 Final Design.

(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$79	\$	\$79
Preliminary Engineering			
Final Design		42	42
Third Party Agreements			
Right of Way			
Construction	617	(42)	575
Construction Services	3		3
Vehicles			
Contingency			
Total	\$699	\$	\$699

700691 - OMF LCC UPGRADES

Project Type: Enhancement

Description: Seeking Board approval to transfer authorized project allocation of \$40,552 from phase 50 Construction to phase 10 Agency Administration.

(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$15	\$41	\$56
Preliminary Engineering			
Final Design	48		48
Third Party Agreements			
Right of Way			
Construction	903	(41)	862
Construction Services			
Vehicles			
Contingency			
Total	\$966	\$	\$966

700693 - OMF RENOVATIONS

Project Type: Enhancement

Description: Seeking Board approval to transfer authorized project allocation of \$10,000 from phase 30 Final Design to phase 20 Preliminary Engineering.

(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$981	\$	\$981
Preliminary Engineering	1	10	11
Final Design	1,196	(10)	1,186
Third Party Agreements			
Right of Way			
Construction	8,084		8,084
Construction Services	843		843
Vehicles			
Contingency			
Total	\$11,105	\$	\$11,105

700692 - OMF EXPANDED PARKING

Project Type: Enhancement

Description: Seeking Board approval to transfer authorized project allocation of \$21,956 from phase 30 Final Design with \$12,809 to phase 10 Agency Administration and \$9,147 to phase 50 Construction.
(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$91	\$13	\$104
Preliminary Engineering	25		25
Final Design	205	(22)	183
Third Party Agreements			
Right of Way			
Construction	300	9	309
Construction Services			
Vehicles			
Contingency			
Total	\$621	\$	\$621

700730 - OMF LRV LIFT

Project Type: Enhancement

Description: Seeking Board approval to transfer authorized project allocation of \$99,762 from phase 50 Construction to phase 10 Agency Administration.
(in thousands)

Project Phase	Authorized Project Allocation		
	Authorized Project Allocation (Current)	Allocation Change	Authorized Project Allocation (New)
Agency Administration	\$943	\$100	\$1,043
Preliminary Engineering	156		156
Final Design	481		481
Third Party Agreements			
Right of Way			
Construction	2,840	(100)	2,740
Construction Services	478		478
Vehicles			
Contingency			
Total	\$4,898	\$	\$4,898

Disadvantaged and small business participation

Not applicable to this action.

Public involvement

Not applicable to this action.

Time constraints

A one-month delay would not create a significant impact to the schedules of the projects in this action.

Prior Board/Committee actions

Resolution No. R2020-30: Adopted an annual budget for the period from January 1 through December 31, 2020, and adopting the 2020 Transit Improvement Plan.

Environmental review – KH 11/13/20

Legal review – AJP 11/13/2020



Resolution No. R2020-25

A RESOLUTION of the Board of the Central Puget Sound Regional Transit Authority authorizing phase transfers for certain System Expansion, Enhancement and State of Good Repair projects while maintaining the authorized project allocation established for each project.

WHEREAS, the Central Puget Sound Regional Transit Authority, commonly known as Sound Transit, was formed under chapters 81.104 and 81.112 of the Revised Code of Washington (RCW) for the Pierce, King, and Snohomish Counties region by action of their respective county councils pursuant to RCW 81.112.030; and

WHEREAS, Sound Transit is authorized to plan, construct, and permanently operate a high-capacity system of transportation infrastructure and services to meet regional public transportation needs in the Central Puget Sound region; and

WHEREAS, in general elections held within the Sound Transit district on November 5, 1996, November 4, 2008, and November 8, 2016, voters approved local funding to implement a regional high-capacity transportation system for the Central Puget Sound region; and

WHEREAS, through Resolution No. R2019-30 the Board adopted the annual 2020 Budget and the Transit Improvement Plan; and

WHEREAS, the adopted 2020 budget includes System Expansion projects to expand the regional transit system through the planning, design, and construction of light rail, commuter rail, express bus, and bus rapid transit infrastructure; and

WHEREAS, the adopted 2020 budget includes State of Good Repair projects to invest in transit system assets to insure the expected useful life is achieved, extend the useful life, and replace assets as needed; and

WHEREAS, the adopted 2020 budget includes Enhancement projects to improve operating efficiency and effectiveness, improve rider experience, increase system functionality, or reduce operating costs; and

WHEREAS, some State of Good Repair projects and Enhancement projects have 2020 spending or forecasted 2020 spending in phases where there is no budget or insufficient annual budget, while there is still sufficient project allocation; and

WHEREAS, the Budget Policy adopted by the Board through Resolution No. R2018-23 requires Board approval to transfer budget between project cost phases of the same project.

NOW, THEREFORE, BE IT RESOLVED by the Board of the Central Puget Sound Regional Transit Authority that the following phase transfers for Enhancement and State of Good Repair projects are approved while the authorized project allocation established for each project is maintained:

- Souder Maintenance Base – Transfer \$110,000 from the Construction phase to the Agency Administration phase
- Downtown Seattle Transit Tunnel Capital Improvements – Transfer \$9,129 from the Construction phase to the Right of Way phase
- Wheel Truing Machine – Transfer \$157 from the Construction phase to the Agency Administration phase

- Kinkisharyo LRV System Upgrade – Transfer \$16,000 from the Final Design phase to the Agency Administration phase
- Puget Sound Emergency Radio Network – Transfer \$30,000 from the Preliminary Engineering phase to the Final Design phase
- SeaTac Airport Wrong Door – Transfer \$42,047 from the Construction phase to the Final Design phase
- Operations and Maintenance Facility Link Control Center Upgrades – Transfer \$40,552 from the Construction phase to the Agency Administration phase
- Operations and Maintenance Facility Renovations – Transfer \$10,000 from the Final Design to the Preliminary Engineering phase
- Operations and Maintenance Facility Expanded Parking – Transfer \$21,956 from the Final Design phase to the following phases: \$12,809 to the Agency Administration phase and \$9,147 to the Construction phase
- Operations and Maintenance Facility LRV Lift – Transfer \$99,762 from the Construction phase to the Agency Administration phase

ADOPTED by the Board of the Central Puget Sound Regional Transit Authority at a regular meeting thereof held on _____.

Kent Keel
Board Chair

Attest:

Kathryn Flores
Board Administrator