

Audit Division Update

Finance and Audit Committee

7/11/24

Data Classification: Unrestricted



Why we are here

- Review of performed 1Q & 2Q 2024 audits
 - Compliance Audits
 - Safety & Security Certification Audits
 - Performance Audit
- Semi-annual audit follow-up report

2024 Audit Plan (Compliance)

Audit Topic	Status	Results
Environmental & Sustainability Management Systems (ESMS) Internal Audit	Completed	No findings
Link Light Rail Annual Internal Safety Audit	Completed	No findings
Tacoma Link Annual Internal Safety Audit	Completed	No findings
Internal Public Safety & Emergency Management Internal Audit	In Progress	
Sounder Commuter Rail Internal Safety Audit	On track	Q3 2024
FRA Railroad Accidents / Incidents Safety Audit	On track	Q3 2024
ST Express Annual Internal Safety Audit	On track	Q4 2024

2024 Audit Plan (Safety & Security Certification)

Audit Topic	Status	Results
East Link “Starter Line” Pre-Integrated Testing Safety & Security Certification Audit	Completed	No findings
East Link “Starter Line” Operational Readiness Safety & Security Certification Audit	Completed	No findings
Lynnwood Link Design & Construction Conformance Safety & Security Certification Audit	In progress	
Lynnwood Link Pre-Operational and Integrated Testing Safety & Security Certification Audit	On track	Q3 2024
Lynnwood Link Operational Readiness Safety & Security Certification Audit	On track	Q3 2024

2024 Audit Plan (Safety & Security Certification)

Audit Topic	Status	Results
Federal Way Link Extension Design Conformance Safety & Security Certification Audit	On track	Q3 2024
Downtown Redmond Link Construction Conformance, Pre-Operational, Integrated Testing Safety & Security Certification Audit	On track	Q4 2024
OMF (South) Design & Construction Conformance Safety & Security Certification Audit	On track	Q4 2024

2024 Audit Plan (Performance)

Audit Topic	Status	Results
Capital Project Time Coding Performance Audit	On track	Q4 2024

Notes and comments:

- We recently completed the procurement process reviewing 5 bid solicitations for this audit
- Contract has been awarded to Moss Adams
- Audit results are still anticipated by September 30, 2024; with results presented to the Community Oversight Panel and Finance & Audit Committee.

Thank you.



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